NOTICE

Amendment to 803 KAR 2:127, Failure to correct violation, was filed with the Legislative Research Commission on April 27, 2021.

The amendment is posted at https://.legislature.ky.gov. Select the Kentucky Law Tab, Kentucky Administrative Regulations, KAR List by Title, then Title 803 Labor Cabinet and scroll down to the regulation under Chapter 2 Occupational Safety and Health.

Changes to the regulation and public hearing information is available at the aforementioned website or in the pages below following this notice.

FILED WITH LRC
TIME: 9.59 and

APR 27 2021

Emily B Caudill

REGULATIONS COMPILER

- 1 LABOR CABINET
- 2 Department of Workplace Standards
- 3 Division of Occupational Safety and Health Compliance
- 4 Division of Occupational Safety and Health Education and Training
- 5 (Amendment)
- 6 803 KAR 2:127. Failure to correct violation [, additional penalty].
- 7 RELATES TO: KRS 338.141(1), 338.991(4)
- 8 STATUTORY AUTHORITY: KRS 338.051, 338.061 [KRS-Chapter-13A]
- 9 NECESSITY, FUNCTION, AND CONFORMITY: KRS 338.051(3) requires the Kentucky
- 10 Occupational Safety and Health Standards Board to promulgate occupational safety and health
- 11 administrative regulations and authorizes the chairman to reference federal standards without
- board approval if necessary to meet federal time requirements, KRS 338.061 authorizes the
- board to establish, modify, or repeal standards and reference federal standards [The
- 14 Commissioner of the Department of Workplace Standards is empowered by KRS 338.141(1) to
- issue a citation to an employer who has violated any requirement of KRS Chapter 338. KRS
- 16 338.991(4) empowers the Commissioner of the Department of Workplace Standards to propose
- penalties for any employer who fails to correct a violation for which a citation has been issued].
- 18 This administrative regulation establishes failure to correct violation procedures followed by the
- 19 Department of Workplace Standards and employers [is necessary as it prescribes the procedure
- 20 to be followed by the Division of Occupational Safety and Health Compliance and by cited
- 21 employers who have been notified of a failure to correct a violation and permits the

- 1 commissioner to propose penalties according to KRS 338.991(4) for failure to correct a
- 2 violation. This administrative regulation spells out the procedures to be used by the Division of
- 3 Occupational Safety and Health Compliance in notifying the employer of a failure to correct an
- 4 alleged violation stating the time limits within which the employer has to contest the notification
- 5 of failure to correct].
- 6 Section 1. Definitions. (1) "Commissioner" is defined by KRS 338.015.
- 7 (2) "Employer" is defined in KRS 338.015(1).
- 8 (3) "Review commission" is defined in KRS 338.015(8).
- 9 (4) "Working day" means Monday through Friday and does not include Saturday, Sunday,
- federal or state holidays, and the day of receipt of notice.
- Section 2. (1) If an inspection discloses that an employer [has] failed to correct an alleged
- violation for which a citation was [has been] issued within the period permitted for its correction,
- the commissioner [district supervisor shall consult with the Director of Compliance who may
- consult with the general counsel, if appropriate, and he] shall notify the employer [by certified]
- mail or by personal service by the compliance safety and health officer] of the [such] failure and
- 16 [of] the additional penalty proposed pursuant to [under] KRS 338.991(4) [by reason of such
- 17 failure].
- 18 (2) The period for the correction of a violation [for which a citation has been issued] shall not
- begin [to run] until the entry of a final order of the review commission in the case of any
- 20 [review] proceedings initiated by the employer in good faith and not solely for delay or
- 21 avoidance of penalties.
- Section 3 [2]. (1) Any employer receiving a notification of failure to correct a violation and [of]
- proposed additional penalty <u>pursuant to</u> [authorized by] KRS 338.991(4) may notify the

- commissioner or designee [Director of Compliance] in writing that she or he contests [intends to
- 2 contest such notification or proposed additional penalty before the review commission].
- 3 (2)(a) The [Such] notice of [intention to] contest shall be transmitted [postmarked] within fifteen
- 4 (15) working days of [the] receipt [by the employer of the notification of failure to correct a
- 5 violation and of proposed additional penalty].
- 6 (b) The commissioner shall [Director of Compliance shall immediately] transmit the [such]
- 7 notice to the review commission in accordance with the rules of procedure prescribed by the
- 8 commission.
- 9 Section 4[3]. Each notification of failure to correct a violation and [of] proposed additional
- penalty shall state that it shall be a [deemed to be the] final order of the review commission and
- 11 not subject to review by any court or agency unless, within fifteen (15) working days from the
- date of receipt of the [such] notification, the employer notifies the commissioner or designee
- 13 [Director of Compliance] in writing that she or he contests [he intends to contest] the notification
- of [or the] proposed additional penalty before the review commission.

As approved by

Jarry Roberts, Secretary of Labor

Date

Date

PUBLIC HEARING AND PUBLIC COMMENT PERIOD: A public hearing on this administrative regulation shall be held on July 21, 2021 at 10:00 am (ET). The meeting will be conducted by live videoconference (ZOOM) pursuant to Senate Bill 150, Section 1, subparagraph (8) b (2020) and the continuing state of emergency. Public access to the meeting will be available at

https://us02web.zoom.us/j/86731399141?pwd=Q2VOcDQ3ZkVBaUtoNERCMDNlKzZJZz09, password 446261; or by telephone at (713) 353-0212, (888) 822-7517 toll free, conference code 194378.

Individuals interested in being heard at this hearing shall notify this agency in writing five (5) working days prior to the hearing of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be canceled. This hearing is open to the public. Any person who wishes to be heard will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made. If you do not wish to attend the public hearing, you may submit written comments on the proposed administrative regulation. Written comments shall be accepted through July 31, 2021. Send written notification of intent to be heard at the public hearing or written comments on the proposed administrative regulation to the contact person.

CONTACT PERSON: Robin Maples, OSH Standards Specialist, Labor Cabinet, Mayo-Underwood Building, 500 Mero Street, 3rd Floor, Frankfort, Kentucky 40601, Telephone: (502) 564-4107, Facsimile: (502) 564-4769, Email: Robin.Maples@ky.gov.

REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT

Regulation Number: 803 KAR 2:127

Contact person: Robin Maples (502) 564-4107, Robin.Maples@ky.gov, Facsimile: (502) 564-

4769

(1) Provide a brief summary of:

- (a) What this administrative regulation does: Section 1 of this administrative regulation, effective since June 6, 1979, defines terms. Section 2 establishes the procedure regarding failure to correct a violation pursuant to 29 CFR 1903.18. This amendment also updates this administrative regulation to meet KRS Chapter 13A considerations and was reviewed in accordance with House Bill (HB) 50 from the Regular Session of the 2017 General Assembly.
- (b) The necessity of this administrative regulation: This administrative regulation was reviewed in accordance with HB 50 from the Regular Session of the 2017 General Assembly. This regulation is necessary to meet the requirements established in Public Law 91-596 84 STAT. 1590 Section 18 (OSH Act of 1970), 29 CFR 1902.3(c), 29 CFR 1902.3(d), 29 CFR 1953.1(a), 29 CFR 1953.1(b), and 29 CFR 1956.2(a), which all require Kentucky OSH regulations to be as effective as the federal requirements. This regulation is equivalent.
- (c) How this administrative regulation conforms to the content of the authorizing statutes: KRS 338.051(3) requires the Kentucky Occupational Safety and Health (OSH) Standards Board to promulgate OSH administrative regulations. This regulation is necessary to meet the requirements established in Public Law 91-596 84 STAT. 1590 Section 18 (OSH Act of 1970), 29 CFR 1902.3(c), 29 CFR 1902.3(d), 29 CFR 1953.1(a), 29 CFR 1953.1(b), and 29 CFR 1956.2(a), which all require Kentucky OSH regulations to be as effective as the federal requirements. This regulation complies and conforms with the authorizing statutes.
- (d) How this administrative regulation currently assists or will assist in the effective administration of the statutes: This administrative regulation promotes worker safety and health throughout Kentucky and ensures the state is as effective as the federal requirement. This regulation is necessary to meet the requirements established in Public Law 91-596 84 STAT. 1590 Section 18 (OSH Act of 1970), 29 CFR 1902.3(c), 29 CFR 1902.3(d), 29 CFR 1953.1(a), 29 CFR 1953.1(b), and 29 CFR 1956.2(a), which all require Kentucky OSH regulations to be as effective as the federal requirements. This regulation is equivalent.
- (2) If this is an amendment to an existing administrative regulation, provide a brief summary of:
- (a) How the amendment will change this existing administrative regulation: Section 1 of this administrative regulation, effective since June 6, 1979, defines terms. Section 2 establishes the procedure regarding failure to correct a violation pursuant to 29 CFR 1903.18. This amendment also updates this administrative regulation to meet KRS Chapter 13A considerations and was reviewed in accordance with HB 50 from the Regular Session of the 2017 General Assembly.
- (b) The necessity of the amendment to this administrative regulation: This administrative

regulation was reviewed in accordance with HB 50 from the Regular Session of the 2017 General Assembly. This regulation is necessary to meet the requirements established in Public Law 91-596 84 STAT. 1590 Section 18 (OSH Act of 1970), 29 CFR 1902.3(c), 29 CFR 1902.3(d), 29 CFR 1953.1(a), 29 CFR 1953.1(b), and 29 CFR 1956.2(a), which all require Kentucky OSH regulations to be as effective as the federal requirements. This regulation is equivalent. Amendments to this regulation are technical and intended to maintain consistency with other regulations.

- (c) How the amendment conforms to the content of the authorizing statutes: KRS 338.051(3) requires the Kentucky OSH Standards Board to promulgate OSH administrative regulations. This regulation is necessary to meet the requirements established in Public Law 91-596 84 STAT. 1590 Section 18 (OSH Act of 1970), 29 CFR 1902.3(c), 29 CFR 1902.3(d), 29 CFR 1953.1(a), 29 CFR 1953.1(b), and 29 CFR 1956.2(a), which all require Kentucky OSH regulations to be as effective as the federal requirements. This regulation complies and conforms with the authorizing statutes.
- (d) How the amendment will assist in the effective administration of the statutes: This amendment maintains consistency with the federal requirements. This amendment promotes employee health and safety throughout Kentucky and keeps the state program consistent with the federal program.
- (3) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation: This administrative regulation affects all employers in the Commonwealth covered by KRS Chapter 338.
- (4) Provide an analysis of how the entities identified in question (3) will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including: (a) List the actions that each of the regulated entities identified in question (3) will have to take to comply with this administrative regulation or amendment: No additional compliance duties are imposed and no immediate action is required.
- (b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (3): There is no additional cost to the OSH Program to implement this administrative regulation.
- (c) As a result of compliance, what benefits will accrue to the entities identified in question (3): This administrative regulation promotes worker safety and health throughout Kentucky and ensures the state is as effective as the federal requirement.
- (5) Provide an estimate of how much it will cost to implement this administrative regulation: (a) Initially: There is no cost to the OSH Program to implement this administrative regulation.
- (b) On a continuing basis: There is no continuing cost to the OSH Program to implement this administrative regulation.
- (6) What is the source of the funding to be used for the implementation and enforcement of this

administrative regulation: Current state and federal funding.

- (7) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new or by the change if it is an amendment: There is neither an increase in fees nor an increase in funding necessary to implement this amendment.
- (8) State whether or not this administrative regulation establishes any fees or directly or indirectly increases any fees: This administrative regulation neither establishes any fees nor directly or indirectly increases any fees.
- (9) TIERING: Is tiering applied? Tiering is not applied. All employers covered by KRS Chapter 338 are treated equally.

FEDERAL MANDATE ANALYSIS COMPARISON

Regulation Number: 803 KAR 2:127

Agency Contact: Robin Maples (502) 564-4107, Robin.Maples@ky.gov, Facsimile: (502) 564-4769

1. Federal statute or regulation constituting the federal mandate. Public Law 91-596 84 STAT. 1590 Section 18 (OSH Act of 1970), 29 CFR 1902.3(c), 29 CFR 1902.3(d), 29 CFR 1953.1(a), 29 CFR 1953.1(b), 29 CFR 1956.2(a)

2. State compliance standards.

The Kentucky OSH Program is mandated to be at least as effective as the federal requirement. Accordingly, in order to maintain the state program as effective as the federal program, Kentucky must adopt the federal requirement or develop an equivalent standard. This regulation is equivalent.

- 3. Minimum or uniform standards contained in the federal mandate. Public Law 91-596 84 STAT. 1590 Section 18 (OSH Act of 1970), 29 CFR 1902.3(c), 29 CFR 1902.3(d), 29 CFR 1953.1(a), 29 CFR 1953.1(b), 29 CFR 1956.2(a)
- 4. Will this administrative regulation impose stricter requirements, or additional or different responsibilities or requirements, than those required by the federal mandate? No
- 5. Justification for the imposition of the stricter standard, or additional or different responsibilities or requirements: This amendment does not impose stricter requirements.

FISCAL NOTE ON STATE OR LOCAL GOVERNMENT

Regulation Number: 803 KAR 2:127

Contact Person: Robin Maples (502) 564-4107, Robin.Maples@ky.gov, Facsimile: (502) 564-

4769

1. What units, parts or divisions of state or local government (including cities, counties, fire departments, or school districts) will be impacted by this administrative regulation? This administrative regulation affects any unit, part, or division of state or local government covered by KRS Chapter 338.

2. Identify each state or federal statute or federal regulation that requires or authorizes the action taken by the administrative regulation.

KRS 338.051, KRS 338.061, Public Law 91-596 84 STAT. 1590 Section 18 (OSH Act of 1970), 29 CFR 1902.3(c), 29 CFR 1902.3(d), 29 CFR 1953.1(a), 29 CFR 1953.1(b), 29 CFR 1956.2(a)

- 3. Estimate the effect of this administrative regulation on the expenditures and revenues of a state or local government agency (including cities, counties, fire departments, or school districts) for the first full year the administrative regulation is to be in effect.

 None.
- (a) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for the first year? None.
- (b) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for subsequent years?

None.

- (c) How much will it cost to administer this program for the first year? There are no costs associated with this amendment.
- (d) How much will it cost to administer this program for subsequent years?

There are no costs associated with this amendment.

Note: If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.

Revenues (+/-): Unknown.

Expenditures (+/-): Unknown.

Other explanation: This amendment does not impose any additional requirements or expenditures.